	0 2004	· 0:									
TRANSMITTAL FORM	M 3 U ZAM	Application Number	10/771,277								
FORM	TO TRADESANT	Filing Date	February 4, 2004								
(to be used for all correspondence after initia	al filing)	First Named Inventor	Shunpei YAMAZAKI et al.								
		Group Art Unit									
		Examiner Name	2066								
Total Number of Pages in This Submission		Attorney Docket Number	740756-2710								
ENCLOSURES (check all that apply)											
Fee Transmittal Form Fee Attached Assig (for at Drawn) After Final After Final Affidavits/declaration(s) Extension of Time Request Information Disclosure Statement Certified Copy of Priority Document(s)		ion and Power of Attorney g-related Papers to Convert to a Provisional	 □ After Allowance Communication to Group □ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter □ Application Data Sheet □ Request for Corrected Filing Receipt with Enclosures □ A self-addressed prepaid postcard for acknowledging receipt ☑ Other Enclosure(s) (please identify below): (1) Preliminary Amendment (2) Verification of Translation w/English Language Specification 								
	Remarks	The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.									
SIGNATUE	RE OF APPL	ICANT, ATTORNEY, O	R AGENT								
Jeffrey L. Costellia Nixon Peabody LLP 401 9 th Street, N.W. Suite 900, Washington, D.C. 200042/28											
Signature	Conti										
Date November	30, 2004										
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)											
Date Signature											

Typed or printed name

FEE TRANSMIT **FOR FY 2004**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 Cl 277

TOTAL AMOUNT OF PAYMENT \$2,202.00

Complete if Known					
Application Number	10/771,277				
Date Date	February 4, 2004				
Fig Named Inventor	Shunpei YAMAZAKI et al.				
go firmation No.	2066				
art Unit					
Attorney Docket No.	740756-2710				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit Card Money Other None Order		3. ADDITIONAL FEES					
Deposit Account:		Entity	Small	Entity			
Deposit 10 2280 (740756 2710)		Fee	Fee Code	Fee	Fee Description		
Account Number 19-2380 (740756-2710)		(\$) 130	2051	(\$) 65	Surcharge - late filing fee or oath	\$130.00	
		50	2052	25	Surcharge - late provisional filing fee or cover		
Deposit		130	1053	130	sheet Non-English specification	\$130.00	
Account Nixon Peabody LLP		2,520	1812	2,520	For filing a request for ex parte reexamination	\$130.00	
Name		920*	1804	920*	Requesting publication of SIR prior to Examiner		
The Commissioner is authorized to: (check all that apply)	1804				action		
Charge fee(s) indicated below Credit any overpayments	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	ļ .	
Charge any additional fee(s)	1251	110	2251	55	Extension for reply within first month		
Charge fee(s) indicated below, except for the filing fee		430	2252	215	Extension for reply within second month		
to the above-identified deposit account.	1253	980	2253	490	Extension for reply within third month		
FEE CALCULATION	1254	1,530	2254	765	Extension for reply within fourth month		
1. BASIC FILING FEE	1255	2,080	2255	1,040	Extension for reply within fifth month		
Large Entity Small Entity Fee Fee Fee Fee Description	1401	340	2401	170	Notice of Appeal		
Code (\$) Code (\$) Fee Paid	1402	340	2402	170	Filing a brief in support of an appeal		
	1403	340	2403	150	Request for oral hearing		
1001 790 2001 395 Utility filing fee \$790	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1002 350 2002 175 Design filing fee	1452	110	2452	55	Petition to revive – unavoidable		
1003 550 2003 275 Plant filing fee	1453	1,370	2453	685	Petition to revive – unintentional		
1004 790 2004 395 Reissue filing fee	1501	1,370	2501	685	Utility issue fee (or reissue)		
1005 160 2005 80 Provisional filing fee	1502	490	2502	245	Design issue fee		
CUPTOTAL (1)	1503 1460	660	2503	330	Plant issue fee		
SUBTOTAL (1) \$790.00		130	1460	130	Petitions to the Commissioner		
		50	1807	50	Processing fee under 37 CFR 1.17(q)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1806 8021	180 40	1806 8021	180 40	Submission of Information Disclosure Stmt Recording each patent assignment per property	040.00	
Extra Claims below Fee Paid	0021	40	8021	40	(times number of properties)	\$40.00	
Total Claims 28 -20** = 8 X \$18 = \$144.00	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))		
Independent 14 -3** = 11 X \$88 = \$968.00 Claims	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))		
Multiple Dependent X \$300 =	1801	790	2801	395	Request for Continued Examination (RCE)		
Large Entity Small Entity	1802	900	1802	900	Request for expedited examination of a design		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)			ļ		application		
1202 18 2202 9 Claims in excess of 20	Other	fee (speci	fy)				
1201 88 2201 44 Independent claims in excess of 3							
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$300.00						
1203 300 2203 150 Multiple dependent claim, if not paid							
1204 88 2204 44 ** Reissue independent claims over original patent							
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent							
SUBTOTAL (2) \$1,112.00							
**or number previously paid, if greater, For Reissues, see above							
SUBMITTED BY	<u> </u>	/			Complete (if applicable)		
Name (Print/Type) Jeffrey I Ostellia	(filori	ration N ney/Agen	0. ()	35,483	Telephone (202) 585-8000		
Signature A William	ر				Date November 30,	2004	



Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/771,277

NIXON PEABODY, LLP

401 9TH STREET, NW

WASHINGTON, DC 20004-2128

02/04/2004

Shunpei Yamazaki

740756-2710

CONFIRMATION NO. 2066

FORMALITIES LETTER

OC000000013670596

Date Mailed: 08/30/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/01/2004 EABUBAK1 00000035 192380 10771277

130.00 DA

01 FC:1001 790.00 DA 02 FC:1201 968.00 DA 03 FC:1202 144.00 DA

04 FC:1051

22204

SUITE 900

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

05 FC:1053 130.00 DA Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine
 the number of claims submitted. Additional claim fees may be due once the number of claims can be
 determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because: ■ The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-27.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1030 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE